

PKS Equity & Option Commission Schedule

Equities – Listed and OTC.

Estimated Principal \$ Amount	Default Rate
Up to \$1,000	\$35.00 Flat rate
\$ 1,001 – 10,000	2.5 % of Principal
\$ 10,001 – 30,000	2.0 % of Principal
\$ 30,001 – 60,000	1.5 % of Principal
\$ 60,001 – 100,000	1.0 % of Principal
\$ 100,001- 300,000	0.75 % of Principal
\$ 300,001- 600,000	0.60 % of Principal
\$ 600,001- 1,000,000	0.45 % of Principal
\$ 1,000,000+	0.35 % of Principal

Options

For Estimated Principal (premium) < \$50,000.00

The Greater of:

1.0% of premium

\$2.00/contract

\$35 + \$1.50(# of contracts)

For Estimated Principal (premium) > \$50,000.00

The Greater of:

0.5% of premium

\$2.00/contract

\$35 + \$1.50(# of contracts)

Your registered representative has the option of charging more or less than the default rate.
Please refer to your trade confirmation for actual amount charged.